



**GOVERNMENT OF SINDH
PLANNING AND DEVELOPMENT DEPARTMENT
DIRECTORATE OF URBAN POLICY & STRATEGIC PLANNING**

**URBAN POLICY &
STRATEGIC PLANNING**
P&D DEPARTMENT, GOVT. OF SINDH

**EXPRESSION OF INTEREST (EOI) FOR HIRING SERVICES OF AN INDIVIDUAL CONSULTANT
FOR "PREPARATION OF ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK
(ESMF) FOR KARACHI QUICK WINS PROJECT UNDER KARACHI TRANSFORMATION
STRATEGY"**

The Directorate of Urban Policy & Strategic Planning, P&DD, Government of Sindh, intends to engage an individual consultant to work as "**Environmental & Social Expert**" for Preparation of Environmental and Social Management Framework (ESMF) for the World Bank assisted Karachi Quick Wins Project under "Karachi Transformation Strategy.

Individuals having Post graduate or higher degree in Environmental / Social Sciences or equivalent and at least 10 years of relevant professional experience in conducting environmental and social assessment of relevant sectors, or closely related sectors. Individual must have excellent report writing skills and familiarity with the World Bank's Operational Policies. Interest candidates may send their CV supported by a covering letter, addressing to Director General, Directorate of Urban Policy & Strategic Planning, Planning and Development Department, Govt. of Sindh at following given address till 10:00 am on November 07, 2016. The EOIs will be publicly opened at 10:30 am on same date and venue. (Note: In case of date of submission and opening of EOI is declared as a public holiday by the Government due to any reason, the next official working day shall be dealt to be date of submission and opening of EOI at the same time and venue).

The shortlisted candidates may be invited for interview & presentation before the Consultant Selection Committee (CSC). Interested Candidates may download Terms of Reference (TORs) of the assignment and evaluation criteria from the websites of www.urbandirectorate.gos.pk and www.pprasindh.gov.pk. Individual Consultant will be selected under the SPPRA Rules. This office reserves the right to accept or reject all applications without assigning any reason.

**Office of The Director General,
Directorate of Urban Policy & Strategic Planning,
Planning and Development Department, Govt. of Sindh.
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Terms of Reference
Environmental and Social Management Framework (ESMF)
for
Karachi Quick Wins Project

A- Background

Karachi is the largest city of Pakistan and the only megacity in the country, having an estimated population of 22-24 million which is more than double that of the next largest city. It is also one of the fastest growing cities in the country, with migration from other parts of the country being the primary driver of this population growth. The population is expected to increase to 27.5 million by 2020 and 32 million by 2030. The city dominates Sindh province in terms of its size and economy, accounting for almost half the province's population.¹ The city has consistently seen a rapid increase in its population and economic activity since Pakistan's independence in 1947, after which it quickly became the industrial and commercial hub of the new country. Multiple waves of migration since independence, due to various political, economic and security-related factors, have led to a diverse ethnic and social mix in the city, leading to conflict across multiple dimensions.

However, the economy of the city is performing poorly compared and is declining in competitiveness. Its rate of economic growth from 1999 to 2010 was lower than most other Pakistani cities, with real GDP per capita growing at under 3 percent per annum from 2000 to 2012. Labor productivity grew at an even more anemic rate of around half a percent per annum, which was less than half the national rate.² This suggests that the increasing workforce has only been absorbed by low productivity jobs. Firms in the city rate corruption and political instability as the biggest constraints to the business environment, following by electricity shortage and crime, theft and disorder. This is in contrast to firms in other cities which overwhelmingly consider electricity to be the biggest constraint.³ The city's economic growth may have stalled recently: The intensity of nighttime lights within 40 km of the city center declined between 2004 and 2010, after growing rapidly for four years. Between 2000 and 2010, Karachi experienced an absolute decline in nighttime light intensities within 11 km of its center (while it saw positive growth at distances greater than 11 km),⁴ reflecting a worrisome stagnation of economic growth in the city core.

During the last few decades, Karachi has suffered infrastructure neglect and there has been a decline in access and quality of these services. Karachi's urban planning, management and service delivery has been unable to keep pace with the needs of a rapidly growing population, quality of living and business environment. As a result Karachi ranks low on livability and the rapid growth of the city has led to a rapid deterioration in municipal service delivery in many sectors, including urban transport, water supply and sanitation, and solid waste management. Indicators and statistics in these sectors are poor. To respond to these challenges, the Government of Sindh (GoS) seeks the support of the World Bank in developing early harvest interventions in the city of Karachi that will act as a demonstration effect of the

¹ World Bank (2014), "Pakistan Urban Sector Assessment", unpublished background report for South Asia Urbanization flagship report 2016.

² *ibid.*

³ World Bank Enterprise Survey.

⁴ Changes in nighttime lights intensity over time are considered a strong proxy for economic growth, and can be used in the absence of subnational data. Source: Mark Roberts and Peter Ellis (2016), "Leveraging Urbanization in South Asia: Managing Spatial Transformation for Prosperity and Livability," World Bank.

potential of economic and urban development interventions in Karachi. These interventions will be included in this proposed project to rapidly improve the lives of the citizens and increase their trust in the state.

B- Project Overview

In line with the above concept and drawing on the findings of the Karachi City Diagnostic report and the associated consultations with government and non-governmental stakeholders, the following three project components have been identified.

Component 1 – Improving Public Spaces, Basic Services and Mobility in Priority Areas: This component would target specific areas for a) improved downtown urban spaces focused on improving quality of life/ livability for public; and b) improve urban roads infrastructure to improve mobility, inclusion and access to market. Without being all encompassing, this component will finance specific physical investments to improve livability and inclusiveness of selected areas in Karachi such as the Pakistan Chowk area, Saddar Town, and Korangi Town.

The first activity will particularly focus on integrated and people-centric urban design with investments in selected parks, plazas, streets, food streets, markets, community amenities. It will support revitalization and improvement of downtown urban spaces; with specific investments in the Pakistan Chowk area; and support to phase III of the ongoing GoS plan of revitalization of Empress Market and its surrounding areas. Both of these are located in the central city commercial center. The second set of interventions will focus on connecting low-income neighborhoods to jobs and services and reducing cost and time for industries to access to market. This includes improvement of urban roads infrastructure to improve mobility, inclusion and access to market, with specific investments identified across the city but mainly clustered in Korangi and Malir areas of the city.

Anticipated investments will focus on street scrape and may include, inter alia improved paving for sidewalks, pedestrian crossings and roads; street lighting, landscaping, street furniture, or wayfinding signs; new playgrounds, sports fields, or community centers; reorganized street parking or improved bus facilities; repaving roads with rehabilitation of underground network (sewerage, water, storm water drainage); reorganizing parking at selected locations; and better street crossings at appropriate locations.

Component 2 – Supporting Metropolitan Governance and Institutional Capacity: This component will support improved metropolitan governance, inclusive planning and citizen accountability and institutional strengthening and capacity enhancement of local governments and selected agencies.

Sub-component 1 – Metropolitan Governance: This sub-component will help provide a framework for effective coordination cross key stakeholders including support to the recently established Karachi Transformation Steering Committee (chaired by the CM and involving representatives from GoS, local governments (KMC and DMCs leadership), private sector and civil society. This will involve the following activities:

- Support the preparation and adoption of a Shared Vision for Karachi city to improve the city's prosperity, livability and inclusiveness;
- Develop a roadmap to plan future investments and key policy reforms needed to realize that vision; and

- Design and implement an e-Portal and online platform to promote access to information and citizen participation, including complaint resolution and grievance redress mechanisms for municipal services; full disclosure of data and information on municipal budgets, services, etc.

Sub-component 2 - Institutional Capacity of LGs: This sub-component will strengthen the institutional capacity of local governments in Karachi (KMC and DMCs). The following activities will be supported: capacity assessment of KMC and DMCs and development of capacity building plans; design and implementation of a modern integrated Financial Management Information System which will help KMC and DMCs to better manage their revenues, expenditures, budget, city assets and human resources; strengthened procurement capacity to improve the efficiency and transparency of public expenditure; strengthened capacity of LGs to plan, develop and finance urban infrastructure and services.

Component 3 – Supporting Project Management and Preparation of Future Investment: This component will finance the following activities:

- Incremental operating costs of project, including the recruitment of required expertise in technical, social, environment, and implementation support aspects;
- Fiduciary activities;
- Audits, complaints and grievances mechanism, studies and assessment required under various project components;
- Communication, dissemination and citizen engagement;
- Third party engineering supervision consultants;
- Third party verification of procurement to improve transparency;
- Monitoring (including of safeguards processes) and evaluation;
- This component will also finance studies such as feasibility, detailed design studies, and environment and social assessment that are needed to prepare and assess large investments in priority areas.

C- Framework Approach

The project intends to finance a variety of subprojects covering wide range of activities that can have adverse environmental impacts. Consequently, Bank's safeguard policies have been triggered and there is a need to undertake environmental and social assessment.

As the subprojects are still in design stage, the Environmental and Social Management Framework (ESMF) approach/checklist is needed to identify these potential impacts and direct implementing agencies to practical ways of avoiding or mitigating them. ESMF primarily prescribes project arrangements for the preparation, review, approval and implementation of subprojects in order to adequately address Bank safeguards issues. The more specific objectives of ESMF are:

- To establish clear procedures and methodologies for the environmental and social planning with additional focus on physical cultural resources, review, approval and implementation of subprojects to be financed under the Project;
- To specify appropriate roles and responsibilities of all implementing agencies and outline the necessary reporting procedures for managing and monitoring environmental social concerns and physical cultural resources related to subprojects;
- To determine the training, capacity building and technical assistance needed to successfully implement the provisions of the ESMF;

- To establish the Project funding required to implement the ESMF requirements; and
- To provide practical information resources for implementing the ESMF.

D- Scope of Work

In general, ESMF should be prepared according to the principles and objectives stated in *Section C Framework Approach*. General tasks needed to complete ESMF include the research, interviews and field work needed to develop:

- A detailed **description of the Project**, its components (especially those funding subprojects), and implementation arrangements, with a focus on how subprojects will be identified, prepared, approved and implemented, and on how funds will flow to approved subprojects.
- An understanding of the **legislative, regulatory and administrative regime** (e.g. pollution control, environmental management, protection of cultural heritage, etc) that the Project will operate within, with a focus on requirements that will apply to the planning, approval and implementation of subprojects.
- A detailed assessment of the impacts of project activities on environmental, social and physical cultural resources and clear mitigation measures recommended to manage negative impacts.
- Undertake **stakeholder consultations** with a select sample of communities and institutions
- An understanding of the **institutional needs** for implementing the ESMF. This should include a review of the authority and capability of implementing agency and their capacity to manage and monitor ESMF implementation. The analysis may extend to management procedures and training, staffing, operation and maintenance training, budgeting, and financial support needed for implementation of ESMF requirements.
- A **training and capacity building program** for implementing agency for implementing the ESMF.
- Requirements for **technical assistance** to communities, service providers and public-sector institutions to support their ESMF implementation work.
- A budget for implementing the ESMF.

ESMF should be prepared for the entire project. It is expected that the consultant will use checklists together with project potential impacts, mitigation measures and community participation methods to carry out implementation of subprojects.

Further guidance on preparation of ESMF can also be provided, if required. **The ESMF will be required to be reviewed and cleared by the World Bank.**

E- Deliverables and Proposed/Indicative Structure of ESMF Report

The proposed structure of the ESMF report is as follows:

- **Executive Summary:** This should provide a general summary of the ESMF contents and key findings, in a vocabulary that is easily understood by the general public. It should be clear, concise ranging from 3 to 5 pages;

- **Introduction:** An introduction describing the ESMF purpose, objectives, principles and methodology. This section should introduce the project proponents, the study team, and provide other relevant information. The layout of ESMF should also be described to facilitate its use;
- **Project Description:** A description of the Project, with an emphasis on component(s) that will finance subprojects. The project description should include background and purpose of the project; components of the project with emphasis on those components that will finance subprojects; anticipated types of subprojects; and types that will be excluded from financing; project target areas; project coordination and implementation arrangements including details of institutional arrangements for managing the subproject cycle; and annual reporting and performance review requirements;
- **Environmental and Social Management Requirements:** This section describes the Bank safeguard policies applicable to the project and its subprojects as well as relevant national environmental and social legal requirements as indicated in various legislation, regulations and guidelines relevant to the project and ESMF. It should state how such requirements will be complied. It should also list national institutions that would be involved in reviewing and approving subprojects.
- **Provide a baseline on environmental, social and physical cultural resources:** Provide a description of baseline conditions in the Project areas. Present a summary description of the environmental and social setting. The description of the environmental setting should include mention of any human settlement in which the project is located, and socio-cultural characteristics of the present communities in the vicinity. Where the project may affect culturally sensitive areas, the ESMF should include their baseline description and current use.
- **Project Preparation, Approval and Implementation:** This section describes the process for ensuring that environmental and social concerns as well as issues related to physical cultural resources are adequately addressed through institutional arrangements and procedures for identification, preparation, approval and implementation of subprojects. This section should also list arrangements for disclosing subprojects information in order to comply with the Bank's Policy of Disclosure of Information.
- **Environmental and Social Management Plan:** This section describes the needs and the requirements of individual safeguards policies applicable to the Project. It should give description of the possible adverse effects, planned mitigation measures and how they will be implemented. In particular, it should assess potential negative impacts on marginalized groups such as women, children, poor and other people and outline mitigation measures. ESMP should also highlight program for monitoring negative as well as positive effects. It should also assign responsibility for implementing EMP.
- **Stakeholder consultations:** This section will describe the objective, process, and outcome of the stakeholder consultations carried out during the ESMF preparation. It should also present a process framework for stakeholder consultations to be followed by the Project.
- **Technical Assistance, Training and Capacity Building:** Under this section, existing institutional capacity of the implementation agency should be assessed. At minimum, the institutional assessment should cover institutional structure and its ability to address environmental and social management issues, number as well as qualifications, knowledge and experience of the staff, and appropriateness

of budgetary resources to carry out job requirements. This section should also undertake trainings needs assessment and describe the trainings plan for the concerned individuals and institutions.

- **ESMF Implementation Budget:** An ESMF implementation budget estimates are provided here. The budget should include funds for institutions development activities, training programs for implementation teams and local/national institutions, technical assistance to authorities, costs for preparations of EMPs and other safeguard documents; and
- **Annexures:** Technical annexes to support ESMF implementation.

F- Qualifications and Skills Required

The Consultant needs to demonstrate that the proposed ESMF has the expertise required to fully appreciate the requirements of *all* the Safeguards Policies to be addressed in the ESMF, and to complete *all* required sections of the ESMF. He should have complete understanding of the national legislative requirements as well as WB safeguard policies.

The ESMF study will require an experienced Environmental & Social Specialist with atleast 10 years of experience in environment and social assessment in the relevant field. He/She must have excellent report writing skills and familiarity with the World Bank's Operational Policies.

G- Time Schedule

The assignment is expected to be completed in about 2.5 months.

H- Applicable OPs

Operational Policies (OP) /Bank Procedures (BP)

- OP / BP 4.01 Environmental Assessment
- OP 4.11 Physical Cultural Resources
- OP / BP 4.12 Involuntary Resettlement
- BP 17. 50 Disclosure of Operational Information

The consultants will also make use of the WBG Environmental, Health, and Safety Guidelines.

I- Evaluation Criteria for Selection of Individual Consultant

The scores / points to be assigned to CV / Resume submitted by interested candidates will have the following four criteria and relevant scores / points:

<u>Criteria</u>	<u>Scores / Points</u>
1) General qualifications (Including registration with relevant professional body)	30
2) Relevant professional experience	50
3) Experience in region	10
4) Experience with international organizations or donor agencies (WB, ADB, USAID, etc)	10
Total Scores / Points:	100

However, the Consultant Selection Committee (CSC) may invite any candidate for conducting interview and presentation session. In this case, the following criteria and their relevant scores / points will be considered:

<u>Criteria</u>	<u>Scores / Points</u>
1) 70% weightage will be assigned to above sub-criteria (1 to 4)	70
2) 30% weightage will be assigned to interview and presentation session	30
Total Scores / Points:	100



NOTIFICATION

NO: SO(COORD)NOTIFICATION/P&D/3/2016: In pursuance of Rule-67 of SPP Rules, 2010, a Consultant Selection Committee is constituted for hiring of an Individual Consultant – “Environmental & Social Expert” for Preparation of Environmental & Social Management Framework (ESMF) for Karachi Quick Wins Project under Karachi Transformation Strategy, with following compositions and TORs:-

1	Director General, Directorate of Urban Policy & Strategic Planning, P&D Department, Govt. of Sindh.	Chairman
2	Representative of Finance Department, Govt. of Sindh.	Member
3	Representative of P&D Department, Govt. of Sindh.	Member
4.	Representative of Environment, Climate Change & Coastal Development Department, Govt. of Sindh.	Member
5.	Deputy Director (Procurement) & Finance) Directorate of UP&SP	Member/Secretary

“The Deputy Director Environment, Directorate of UP&SP will serve as a co-opted member of the Committee. No Representative / Member of the Committee will be below of BPS-18”

Terms of Reference :-

The Consultant Selection Committee will perform the following functions:-

- Evaluation of CVs submitted by interested candidates.
- Conducting interviews & presentation session of shortlisted candidates, if required.
- Finalization of recommendation based on evaluation.

- MUHAMMAD WASEEM-
Additional Chief Secretary (Dev)

NO: SO(COORD)NOTIFICATION/P&D/3/2016:

Karachi dated the 21st Oct. 2016

A copy is forwarded for information and necessary action to the:-

1. The Secretary, Finance Department, Govt. of Sindh.
2. The Secretary, Environment, Climate Change & Coastal Development Department, Govt. of Sindh.
3. The Director General, Directorate of Urban Policy & Strategic Planning, Sindh, P&D Department,
4. The Secretary (Dev/Tech), P&D Deptt. Govt. of Sindh.
5. The Chief Economist, P&D Deptt. Govt. of Sindh..
6. The Chairman / Members of the Committee (all).
7. The Additional Secretary (Admn), P&D Deptt. Govt. of Sindh.
8. The Deputy Secretary (Admn), P&D Deptt. Govt. of Sindh.
9. The Deputy Secretary (Dev-I), Finance Deptt. Govt. of Sindh.
10. The Superintendent, Sindh Govt. Printing Press, Karachi.
11. P.S to ACS (Dev), P&D Deptt. Govt. of Sindh.
12. P.S to Secretary (Planning), P&D Deptt. Govt. of Sindh.

(MUHAMMAD HANIF)
Section Officer (Coord.)
Ph: 99211337



GOVERNMENT OF SINDH
PLANNING, DEVELOPMENT &
SPL. INITIAIVE DEPARTMENT

NOTIFICATION

NO:SO(ADMN-I)(P&D)12(105)/12: In pursuance of Rule-31 of SPPRA Rules, 2010 a Redressal Committee, comprising the following, is hereby constituted to grant right to the bidders as a legal obligation to represent against the decisions of Directorate of Urban Policy and Strategic Planning, Planning, Development & Spl. Initiatives Department, Government of Sindh for all consultancy services:-

01.	Secretary (Planning) P&D Department, Government of Sindh.	Chairperson
02.	Representative of Accountant General Sindh (not below an Officer in BPS-18)	Member
03.	An independent professional from relevant field.	Member

Terms of Reference (TORs)

TORs of the committee are as provided under Rule-31 of SPP Rule-2010 and to perform any other function ancillary and incidental to the above.

MUHAMMAD WASEEM
Additional Chief Secretary (Dev.)

NO: SO(ADMN-I)(P&D)12(105)/2012:

Karachi dated the 22nd October, 2014

A copy is forwarded for information & necessary action to:-

1. Secretary to Govt. of Sindh, Finance Department, Karachi.
2. Accountant General Sindh, Karachi.
3. Director General, Urban Policy & Strategic Planning Unit, Sindh, P&D Deptt.
4. Deputy Secretary (Admn) P&D Deptt. Govt. of Sindh, Karachi.
5. Deputy Secretary (Dev-I), Finance Department, Govt. of Sindh, Karachi.
6. Superintendent, Sindh Govt. Printing Press, Karachi.
7. P.S. to ACS(Dev), P&D Deptt. Govt. of Sindh, Karachi.
8. Officers concerned.
9. Master File.

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24/2/14

Di-
ARM/
DSC (P&D)

(IMRAN SIBTAIN)
Section Officer (Admn-I)
Ph: 021-99211926

**DIRECTORATE OF URBAN POLICY AND STRATEGIC PLANNING,
PLANNING AND DEVELOPMENT DEPARTMENT GOVERNMENT OF SINDH**

PROCUREMENT PLAN

Sl. No.	Name of Study	Estimated Timeline				Source of Funds (ADP/NON-ADP)	Proposed Procurement Method
		Jul 15 - 16-Jun	Jul 16 - 17-Jun	Jul 17- 18-Jun			
1	Feasibility Study - Karachi Elevated Circular Beltway	X	X		ADP	Single Stage Two Envelope	
2	Development of Master Plans for District HQ Towns of Sindh	X	X	X			
3	Investment Management Study for Sindh	X	X				
4	Financial and Finance Assessment Study for Sindh	X	X				
5	Sindh Housing Policy	X	X				
6	Appraisal of Development Program Schemes using Geospatial Technologies	X	X	X			
7	Industrial Development Strategy for Sindh		X	X			


 (Khair Muhammad Kalwar)
 Director General