

# **REVISED REQUEST FOR PROPOSAL**

**EXPANSION OF UNION COUNCIL BASED POVERTY  
REDUCTION PROGRAMME  
IN SIX NEW DISTRICTS OF SINDH  
KHAIRPUR, SANGHAR, BADIN, THATTA, UMERKOT AND  
MIRPURKHAS, GOVERNMENT OF SINDH, KARACHI**

**INSTRUCTIONS FOR PREPARATION & SUBMISSION OF  
THE PROPOSALS**



**Planning & Development Department, Government of Sindh**

# **Foreword**

This Standard Request for Proposals by Sindh Public Procurement Regulatory Authority (SPPRA) will be used to prepare and submit all the proposal of the Expansion of Union Council Based Poverty Reduction Program in Six Districts of Sindh, i.e. Khairpur, Sanghar, Thatta, Badin, Mirpurkhas and Umerkot.

## CONTENTS

Section 1. Letter of Invitation.....	1
Letter of Invitation.....	2
Section 2. Instructions to Consultants.....	3
Data Sheet.....	15
Section 3. Technical Proposal - Standard Forms.....	20
Section 4. Financial Proposal - Standard Forms.....	32

**Section 1. Letter of Invitation**

## Letter of Invitation

Mr. A.B.C  
CEO. X.Y.X  
City: - X.Y.Z

1. The Program Monitoring Unit, Union Council Based Poverty Reduction Program, P&D Department, Government of Sindh (hereinafter called “Procuring Agency”) now invites proposals to provide the following consulting services:  
Expansion of Union Council Based Poverty Reduction Program in Six Districts of Sindh, i.e. Khairpur, Sanghar, Badin, Thatta, Mirpurkhas and Umerkot. More details on the services are provided in the Terms of Reference.
3. This Request for Proposal (RFP) has been addressed to the following shortlisted/pre-qualified/interested Consultants:

***List of Shortlisted NGOs is attached***

It is not permissible to transfer this invitation to any other firm.

4. A firm will be selected under Quality and Cost Based Selection (QCBS) and procedures described in this RFP, in accordance with the SPPRA 2010.
5. The RFP includes the following documents:  
Section 1 - Letter of Invitation  
Section 2 - Instructions to Consultants (including Data Sheet) Section 3 –  
Technical Proposal - Standard Forms  
Section 4 - Financial Proposal - Standard Forms Section 5 - Terms of Reference  
Section 6 - Standard Forms of Contract
6. Please inform us in writing at the following address:

*The Program Coordinator, PMU, UCBPRP  
Bungalow No. D-50, Street 50, PECHS Block 6, Shahrah-e- Faisal, Karachi.*

- (a) *You have received the Letter of Invitation; and*
- (b) *Whether you will submit a proposal alone or in association.*

*Yours Sincerely,*

*Program Coordinator,  
Union Council Based Poverty Reduction Program,  
Planning & Development Department, Government of Sindh*

## **Section 2. Instructions to Consultants**

## **Instructions to Consultants**

### **Definitions**

- (a) “Procuring Agency (PA)” means the Department with which the selected Consultant signs the Contract for the Services.
- (b) “Consultant” means a professional who can study, design, organize, evaluate and manage projects or assess, evaluate and provide specialist advice or give technical assistance for making or drafting policies, institutional reforms and includes private entities, consulting firms, legal advisors, engineering firms, construction managers, management firms, procurement agents, inspection agents, auditors, international and multinational organizations, investment and merchant banks, universities, research institutions, government agencies, nongovernmental organizations, and individuals
- (c) “Contract” means an agreement enforceable by law and includes General and Special Conditions of the contract.
- (d) “Data Sheet” means such part of the Instructions to Consultants that is used to reflect specific assignment conditions.
- (e) “Day” means calendar day including holiday.
- (f) “Government” means the Government of Sindh.
- (g) “Instructions to Consultants” (Section 2 of the RFP) means the document which provides shortlisted Consultants with all information needed to prepare their Proposals.
- (h) “LOI” (Section 1 of the RFP) means the Letter of Invitation sent by the procuring agency to the Consultant.
- (i) “Proposal” means the Technical Proposal and the Financial Proposal.
- (j) “RFP” means the Request For Proposal prepared by the procuring Agency for the selection of Consultants.
- (k) “Sub-Consultant” means any person or entity to whom the Consultant subcontracts any part of the Services.
- (l) “Terms of Reference” (TOR) means the document included in the RFP as Section 5 which explains the objectives, scope of work, activities, tasks to be performed, respective responsibilities of the Procuring Agency and the Consultant, and expected results and deliverables of the assignment.

## **2. Introduction**

- 2.1 The Procuring Agency named in the Data Sheet will select a consulting firm/organization (the Consultant) from those listed in the Letter of Invitation, in accordance with the method of specified selection in the Data Sheet.
- 2.2 The eligible Consultants (shortlisted if so) are invited to submit a Technical Proposal and a Financial Proposal, or a Technical Proposal only, as specified in the Data Sheet. The Proposal will be the basis for Contract negotiations and ultimately for a signed Contract with the selected Consultant.
- 2.3 Consultants should familiarize themselves with rules /conditions and take them into account while preparing their Proposals. Consultants are encouraged to attend a pre-proposal conference if one is specified in the Data Sheet. Attending the pre-proposal conference is, however optional. Consultants may liaise with procuring agency's representative named in the Data Sheet for gaining better insight into the assignment.
- 2.4 Consultants shall bear all costs associated with the preparation and submission of their Proposals and Contract negotiation. The Procuring Agency reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultants.
- 2.5 Procuring Agency may provide facilities and inputs as specified in Data Sheet.

## **3. Conflict of Interest**

- 3.1.1 Consultants are required to provide professional objective and impartial advice and holding the Procuring Agency interest of Paramount. They shall strictly avoid conflict with other Assignments or their own corporate of interest. Consultants have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of the Procuring Agency, or that may reasonably be perceived as having such effect. Failure to disclose said situations may lead to the disqualification of the Consultant or the termination of its Contract.
- 3.1.2 Without limitation on the generality of the foregoing, Consultants, and any of their affiliates, shall be considered to have a conflict of interest and shall not be recruited, under any of the circumstances set forth below:



- (i) A Consultant that has been engaged by the procuring agency to provide goods, works or services other than related consulting services for a project, any of its affiliates, shall to those goods, works or services. Conversely, a firm disqualified from providing consulting services be hired to provide consulting services for the preparation or implementation of a project, any of its affiliates, shall be disqualified from subsequently providing goods or works or services other than consulting services resulting from or directly related to the firm's consulting services for such preparation or implementation
- (ii) A Consultant (including its Personnel and Sub-Consultants) or any of its affiliates shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant to be executed for the same or for another Procuring Agency.
- (iii) A Consultant (including its Personnel and Sub-Consultants) that has a business or family relationship with a member of the Procuring Agency's staff who is directly or indirectly involved in any part of (i) the preparation of the Terms of Reference of the assignment, (ii) the selection process for such assignment, or (iii) supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved.

**Conflicting Relationships**

- 3.2 Government officials and civil servants may be hired as Consultants only if:
- (i) They are on leave of absence without pay.
  - (ii) They are not being hired by the agency, they were working for six months prior to going on leave
  - (iii) Their employment would not give rise to any conflict of interest.

**4. Fraud and Corruption**

It is Government's policy that Consultants under the contract(s), observe the highest standard of ethics during the procurement and execution of such contracts. In pursuit of this policy, the Procuring Agency follows the instructions contained in Sindh Public Procurement Rules 2010 which defines:

“Corrupt and fraudulent practices” includes the offering, giving, receiving, or soliciting, directly or indirectly of anything of value to influence the act of another party for wrongful gain or any act or omission, including misrepresentation, that knowingly or recklessly misleads or attempt mislead a party to obtain a financial or other benefit or to avoid an obligation;

Under Rule 35 of SPPR2010, “The PA can inter-alia blacklist bidders found to be indulging in corrupt or fraudulent practices. Such

barring action shall be duly publicized and communicated to the SPPRA. Provided that any supplier or contractor who is to be blacklisted shall be accorded adequate opportunity of being heard”.

**5. Integrity Pact**

Pursuant to Rule 89 of SPPRA 2010 Consultant undertakes to sign an Integrity pact in accordance with prescribed format attached here to for all the procurements estimated to exceed Rs. 2.5 million.(Annex- A)

**6. Eligible Consultants**

- 6.1 listing process has been undertaken through EOI, as outlined under Rule 73 and 74 of SPPR2010 for the Contract(s) for which these RFP documents are being issued, those firms - in case of Joint Ventures with the same partner(s) and Joint Venture structure - that had been pre-qualified are eligible.
- 6.2 Short listed Consultants emerging from request of expression of interest are eligible.

**7. Eligibility of Sub-Consultants**

A shortlisted Consultant would not be allowed to associate with Consultants who have failed to qualify the short listing process.

**8. Only one Proposal**

Shortlisted Consultants may only submit one proposal. If a Consultant submits or participates in more than one proposal, such Proposals shall be disqualified. Participation of the same Sub-Consultant, including individual experts, to more than one proposal is not allowed

**9. Proposal Validity**

- 9.1 The Data Sheet indicates Proposals validity that shall not be more than 90 days in case of National Competitive Bidding (NCB) and 120 days in case of International competitive Bidding (ICB). During this period, Consultants shall maintain the availability of Professional staff nominated in the Proposal. The Procuring Agency will make its best effort to complete negotiations within this period. Should the need arise; however, the Procuring Agency may request Consultants to extend the validity period of their proposals. Consultants who agree to such extension shall confirm that they maintain the availability of the Professional staff nominated in the Proposal, or in their confirmation of extension of validity of the Proposal, Consultants may submit new staff in replacement, who would be considered in the final evaluation for contract award. Consultants who do not agree have the right to refuse to extend the validity of their Proposals.
- 9.2 Consultants shall submit required bid security along with Financial proposal defined in the Data Sheet (which shall not be less than one percent and shall not exceed five percent of bid amount).

- |   |  |
|---|--|
| <b>10. Clarification and Amendment in RFP Documents</b> | <p>10.1 Consultants may request for a clarification of contents of the bidding document in writing, and procuring agency shall respond to such queries in writing within three calendar days, provided they are received at least five calendar days prior to the date of opening of Proposal. The Procuring Agency shall communicate such response to all parties who have obtained RFP document without identifying the source of inquiry. Should the PA deem it necessary to amend the RFP as a result of a clarification, it shall do so.</p> <p>10.2 At any time before the submission of Proposals, the Procuring Agency may amend the RFP by issuing an addendum/ corrigendum in writing. The addendum shall be sent to all Consultants and will be bidding on them. Consultants shall acknowledge receipt of all amendments. To give Consultants reasonable time in which to take an amendment into account in their Proposals the Procuring Agency may, if the amendment is substantial, extend the deadline for the submission of Proposals.</p> |
| <b>11. Preparation of Proposals</b>                     | <p>11.1 In preparing their Proposal, Consultants are expected to examine in detail the documents comprising the RFP. Material deficiencies (deviation from scope, experience and qualification of personnel) in providing the information requested may result in rejection of a Proposal.</p> <p>11.2 The estimate number of professional staff months or the budget required for executing the assignment should be shown in the Data Sheet, but not both. However, proposal shall be based on the professional staff month or budget estimated by the Consultant.</p>   |
| <b>12. Language</b>                                     | <p>12.1 The Proposal as well as all related correspondence exchanged by t Consultants and the Procuring Agency shall be written in English. However it is desirable that the firm's Personnel have a working knowledge of the national and regional languages of Islamic Republic of Pakistan.</p>   |
| <b>13. Technical Proposal Format and Content</b>        | <p>13.1 While preparing the Technical Proposal, Consultants must give particular attention to the following:</p> <p>(i) If a Consultant considers that it does not have all the expertise for the assignment, it may obtain a full range of expertise by associating with individual Consultant(s) And/or other firms or entities in a joint venture or sub-</p>   |

consultancy, as appropriate. The International Consultants are encouraged to seek the participation of Local Consultants by entering into a Joint Venture with, or subcontracting part of the assignment to, National Consultants.

- (ii) For assignments on a staff-time basis, the estimated number of professional staff-months is given in the Data Sheet. The proposal shall, however, be based on the number of professional staff-months estimated by the firm. For fixed-budget-based assignments, the available budget is given in the Data Sheet, and the Financial Proposal shall not exceed this budget.
- (iii) It is desirable that the majority of the key professional staff proposed be permanent employees of the firm or has an extended and stable working relationship with it.
- (iv) Proposed professional staff must, at a minimum, have the experience indicated in the Data Sheet, preferably working under similar geographical condition.
- (v) Alternative professional staff shall not be proposed, and only one curriculum vitae (CV) shall submit for each position.

13.2 The Technical Proposal shall provide the following information using the attached Standard Forms (Section 3):

- (i) A brief description of the Consultant organization and an outline of recent experience on assignments (Section 3B) of a similar nature. For each assignment, the outline should indicate, *inter alia*, the profiles of the staff, duration of the assignment, contract amount, and firm's involvement.
- (ii) Any comments or suggestions on the Terms of Reference and on the data, a list of services, and facilities to be provided by the PA (Section 3C).
- (iii) The list of the proposed staff team by specialty, the tasks that would be assigned to each staff team member, and their timing (Section 3E).
- (iv) CVs recently signed by the proposed professional staff and the authorized representative submitting the proposal (Section 3F). Key information should include number of years working for the Consultant and degree of responsibility held in various assignments during the last five years.
- (v) Estimates of the total staff input (professional and support

staff; staff time) needed to carry out the assignment, supported by bar chart diagrams showing the time proposed for each professional staff team member (Sections 3E and 3G).

- (vi) A detailed description of the proposed methodology, work plan for performing the assignment, staffing, and monitoring of training, if the Data Sheet specifies training as a major component of the assignment (Section 3D).
- (vii) Any additional information requested in the Data Sheet.

13.3 The Technical Proposal shall not include any financial information.

#### **14. Financial Proposals**

14.1 The Financial Proposal shall be prepared using the attached Standard Forms (Section 4). It shall list all costs associated with the assignment, including (a) remuneration for staff (in the field and at the Consultants' office), and (b) reimbursable expenses indicated in the Data Sheet (if applicable). Alternatively Consultant may provide their own list of cost. If appropriate, these costs should be broken down by activity. All activities and items described in the Technical Proposal must be priced separately; activities and items described in the Technical Proposal but not priced, shall be assumed to be included in the prices of other activities or items.

#### **15. Taxes**

15.1 The Consultant will be subject to all admissible taxes including stamp duty and service charges at a rate prevailing on the date of contract agreement unless exempted by relevant tax authority.

#### **16. Submission, Receipt, and Opening of Proposals**

16.1 Proposal shall contain no interlineations or overwriting. Submission letters for both Technical and Financial Proposals should respectively be in the format of TECH-1 of Section 3, and FIN-1 of Section 4. All pages of the original Technical and Financial Proposals will be initialed by an authorized representative of the Consultants (Individual Consultant). The authorization shall be in the form of a written power of attorney accompanying the Proposal

16.2 All required copies of the Technical Proposal are to be made from the original. If there are discrepancies between the original and the copies of the Technical Proposal, the original governs.

16.3 The original and all copies of the Technical Proposal shall be placed in a sealed envelope clearly marked "TECHNICAL PROPOSAL" Similarly, the original Financial Proposal (if required under the selection method indicated in the Data Sheet)

shall be placed in a sealed envelope clearly marked “FINANCIAL PROPOSAL” followed by name of the assignment, and with a warning “**DO NOT OPEN WITH THE TECHNICAL PROPOSAL.**” If the Financial Proposal is not submitted in a separate sealed envelope duly marked as indicated above, this will constitute grounds for declaring the Proposal non-responsive.

- 16.4 The Proposals must be sent to the address indicated in the Data Sheet and received by the PA no later than the time and the date indicated in the Data Sheet, or any extension to this date. Any proposal received by the PA after the deadline for submission shall be returned unopened. In order to avoid any delay arising from the postal or PA’s internal dispatch workings, Consultants should ensure that proposals to be sent through couriers should reach a day before the deadline for submission. .

## **17. Proposal Evaluation**

- 17.1 From the time, the Proposals are opened to the time the Contract is awarded, the Consultants should not contact the PA on any matter related to its Technical and/or Financial Proposal. Any effort by Consultants to influence the PA in the examination, evaluation, ranking of proposals, and recommendation for award of Contract may result in the rejection of the Consultants’ Proposal.

Evaluators of Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded.

## **18. Evaluation of Technical Proposals**

- 18.1 The evaluation committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference, applying the evaluation criteria, sub-criteria, and point system specified in the Data Sheet. Each responsive Proposal will be given a technical score (St). A Proposal shall be rejected at this stage if it fails to achieve the minimum technical score indicated in the Data Sheet.

In the case of Quality-Based Selection, Selection Based on Consultant’s Qualifications, and Single-Source Selection, the highest ranked Consultant or firm selected on a single-source basis is invited to negotiate its Proposal and the contract on the basis of the Technical Proposal and the Financial Proposal submitted.

### **Public Opening and Evaluation of Financial Proposals: (LCS , QCBS, and Fixed Budget Selection Methods Only)**

- 18.2 After the technical evaluation is completed, the PA shall notify  
  
in writing Consultants that have secured

the minimum qualifying marks, the date, time and location, allowing a reasonable time, for opening the Financial Proposals. Consultant's attendance at the opening of Financial Proposals is optional.

Financial proposals of those Consultants who failed to secure minimum qualifying marks shall be returned unopened.

## **19. Evaluation of Financial Proposals**

- 19.1 Financial Proposals shall be opened publicly in the presence of the Consultants' representatives who choose to attend. The name of the Consultants and the technical scores of the Consultants shall be read aloud. The Financial Proposal of the Consultants who met the minimum qualifying mark will then be inspected to confirm that they have remained sealed and unopened. These Financial Proposals shall be then opened, and the total prices read aloud and recorded. Copy of the record shall be sent to all Consultants.
- 19.2 The Evaluation Committee will correct any computational errors. When correcting computational errors, in case of discrepancy between a partial amount and the total amount, or between word and figures the formers will prevail. In addition to the above corrections, activities and items described in the Technical Proposal but not priced, shall be assumed to be included in the prices of other activities or items.
- 19.3 In case of **Least Cost Selection LCS Method**, the bid found to be the lowest evaluated bid shall be accepted.
- 19.4 **In case of Quality and Cost Based Selection QCBS Method** the lowest evaluated Financial Proposal (Fm) will be given the maximum financial score (Sf) of 100 points. The financial scores (Sf) of the other Financial Proposals will be computed as indicated in the Data Sheet. Proposals will be ranked according to their combined technical (St) and financial (Sf) scores using the weights (T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) indicated in the Data Sheet:  $S = St \times T\% + Sf \times P\%$ . The firm achieving the highest combined technical and financial score will be invited for negotiations.
- 19.5 In the case of Fixed-Budget and Quality Based Selection, the Procuring Agency will select the firm that submitted the highest ranked Technical Proposal.

- 20. Negotiations**
- 20.1 Negotiations will be held at the date and address indicated in the Data Sheet. The invited Consultant will, as a pre-requisite for attendance at the negotiations, confirm availability of all Professional staff. Failure in satisfying such requirements may result in the PA proceeding to negotiate with the next-ranked Consultant. Representatives conducting negotiations on behalf of the Consultant must have written authority to negotiate and Conclude a Contract.
- 21. Technical negotiations**
- 21.1 Technical Negotiations will include a discussion of the Technical Proposal, the proposed technical approach and methodology, work plan, organization and staffing, and any suggestions made by the Consultant to improve the Terms of Reference. The PA and the Consultants will finalize the Terms of Reference, staffing schedule, work schedule, logistics, and Reporting. These documents will then be incorporated in the Contract as “Description of Services”. Minutes of negotiations, which will be signed by the PA and the Consultant, will become part of Contract Agreement.
- 22. Financial negotiations**
- 22.1 If applicable, it is the responsibility of the Consultant, before starting financial negotiations, to contact the local tax authorities to determine the tax amount to be paid by the Consultant under the Contract. The financial negotiations will include a clarification (if any) of the firm’s tax liability, and the manner in which it will be reflected in the Contract; and will reflect the agreed technical modifications in the cost of services. Consultants will provide the PA with the information on remuneration rates described in the Appendix attached to Section 4 (i.e. Financial Proposal - Standard Forms of this RFP).
- 23. Availability of Professional staff/experts**
- 23.1 Having selected the Consultant on the basis of, among other things, an evaluation of proposed Professional staff, the PA expects to negotiate a Contract on the basis of the Professional staff named in the Proposal. Before contract negotiations, the PA will require assurances that the Professional staff will be actually available. The PA will not consider substitutions during contract negotiations unless both parties agree that undue delay in the selection process makes such substitution unavoidable or for reasons such as death or medical incapacity. If this is not the case and if it is established that Professional staff were offered in the proposal without confirming their availability, the Consultant may be disqualified. Any proposed substitute shall have equivalent or better qualifications and experience than the original candidate and be submitted by the Consultant within



- The period of time specified in the letter of invitation to Negotiate.
- 24. Award of Contract**
- 24.1 After completing negotiations, the Procuring Agency shall award the Contract to the selected Consultant and within seven of the award of contract, Procuring Agency shall publish on the website of the Authority and on its own website, if such a website exists, the result of the bidding process, identifying the bid through procuring identifying number, if any and the following information, evaluation report, form of contract and letter of award, bill of quantity or schedule of requirement, as the case may be.
- 24.2 After publishing of award of contract consultant required submitting a performance security at the rate indicated in Data Sheet.
- 24.3 The Consultant is expected to commence the assignment on the date and at the location specified in the Data Sheet.
- 25. Confidentiality**
- Information relating to evaluation of Proposals and Recommendations concerning awards shall not be disclosed to the Consultants who submitted the Proposals or to other persons not officially concerned with the process, until the publication of the award of Contract. The undue use by any Consultant of confidential information related to the process may result in the rejection of its Proposal.

**Section .2** Information to consultants-Data Sheet**Data Sheet**

1.1	<p>Name of the Assignment is: <u>Expansion of Union Council Based Poverty Reduction Program in Six new districts of Sindh i.e. Khairpur, Sanghar, Badin, Thatta, Mirpurkhas and Umerkot.</u></p> <p>The Name of the PA's Officials(s): <u>Muhammad Ali Khoso (Program Coordinator)</u></p> <p>Address: <u>Bungalow No.D-50 Street 50, PECHS Block 6 Main Shahrah-E- Faisal Karachi.</u></p> <p>Telephone: <u>021-34540646</u> Facsimile: <u>021-34387627</u></p> <p>Email: <u>pc.pmu.ucbprp@gmail.com</u></p>
2.1	<p>The method of selection is: <u>Quality and Cost Based Selection (QCBS)</u></p> <p>The edition of the guidelines is : <u>First</u></p>
1.3	Financial Proposal to be submitted together with Technical Proposal: <u>Yes</u>
1.4	The PA will provide the following inputs and facilities is : <u>NA</u>
1.5	<p>The Proposal submission address is: <u>Bungalow No. D-50 Street 50, PECHS Block 6 Main Shahrah-E- Faisal Karachi</u></p> <p>Proposal must be submitted not later than the following date: <u>31<sup>st</sup> October, 2016</u> and time <u>15 days</u></p>
1.6	<p>Expected date for commencement of consulting service : <u>30<sup>th</sup> December , 2016</u></p> <p>At: <u>Khairpur, Sanghar, Badin, Thatta, Mirpurkhas and Umerkot.</u></p>
9.1	Proposal validity that shall not be more than 90 days in case of National Competitive Bidding (NCB) and 120 days in case of International Competitive Bidding (ICB)
9.2	<p>If a Consultant firm is interested to offer bid for two or more districts, it may deposit the bid security collectively or as the case may be.</p> <p>The Consultant Firms are required to submit 5% of the bid amount as bid security along with their Proposals.</p>
10.1	<p>Clarification may be requested not later than Five days before the submission date.</p> <p>The address for requesting clarification is: <u>Bungalow No. D-50 Street 50, PECHS Block 6 Main Shahrah-E- Faisal Karachi.</u></p> <p>Facsimile: <u>021-34387627</u> Email: <u>pc.pmu.ucbprp@gmail.com</u></p>
12	The Proposal as well as all related correspondence exchanged by the Consultant and Procuring Agency shall be written in English however, it is desirable that the firm's personnel have a working knowledge of the national and regional languages of Islamic Republic of Pakistan.
6.1	Shortlisted Consultants may associate with other shortlisted Consultant : <u>Yes</u>

---

**Data Sheet**

11.2	The estimated number of professional staff-month required for the assignment is <u>36</u> Staff-months Or The available budget is <u>NA</u>
13.1	The Format of the Technical Proposal to be submitted is <u>FTP</u>
13.2 (vii)	Training is a specific component of the assignment: <u>Yes</u> Need Based Trainings to Communities
14.1	<p>(1) A per diem allowance in respect of personnel of the Consultant for every day in which the personnel shall be absent from the home office and ,as applicable, outside the beneficiary country for purposes of the services;</p> <p>(2) Cost of necessary travel, including transportation of the Personnel by the most appropriate means of transport and the most direct practicable route;</p> <p>(3) Cost of office accommodation, investigations and surveys;</p> <p>(4) Cost of applicable international or local communications such as the use of telephone and facsimile required for the purpose of Consulting Services;</p> <p>(5) Cost, rental and freight of any instruments or equipment required to be provided by the Consultants for the purposes of Consulting Services;</p> <p>(6) Cost of printing and dispatching of the reports to be produced for Consulting Services;</p> <p>(7) Other allowances where applicable and provisional or fixed sums (if any); and</p> <p>(8) Cost of such further items required for purposes of the Services not covered in the foregoing.</p>
15.1	Amounts payable by the PA to the Consultant under the contract to be subject to local taxation, stamp duty and service charges, if applicable <u>Yes</u>
6.3	Consultants to state local cost in the national currency (in case of ICB only): <u>Yes</u>
16.3	Consultant must submit the original and 02 copies of the Technical Proposal, and the original of the Financial Proposal (Along with bid security as per following districts of their interest)

13.1	<p>Criteria, sub-criteria, and point system for the evaluation of Full Technical Proposals are:</p> <p>(i) Specific years experience of the Consultants relevant to the assignment:<b>08</b></p> <p>(ii) Adequacy of the proposed methodology and work plan in responding to the Terms of Reference:</p> <table> <tr> <td>a) Technical approach and methodology</td><td>30</td></tr> <tr> <td>b) Work plan</td><td>10</td></tr> <tr> <td>c) Organization and staffing</td><td>10</td></tr> <tr> <td>Total points for criterion(ii)</td><td>20-50</td></tr> </table> <p>(iii) Key professional staff qualifications and competence for the assignment:</p> <table> <tr> <td>a) Team Leader</td><td>10</td></tr> <tr> <td>b) Monitoring and Evaluation Professional</td><td>05</td></tr> <tr> <td>c) Engineer</td><td>05</td></tr> <tr> <td>d) MIS /IT Manager</td><td>05</td></tr> <tr> <td>e) Micro Credit Manger</td><td>05</td></tr> <tr> <td>Total points for criterion (iii)</td><td>30-60</td></tr> </table> <p>The number of points to be assigned to each of the above positions or disciplines shall be determined considering the following three sub criteria and relevant percentage weights:</p> <table> <tr> <td>1) General qualifications</td><td>25%</td></tr> <tr> <td>2) Adequacy for the assignment</td><td>60%</td></tr> <tr> <td>3) Experience in region and language</td><td>15%</td></tr> <tr> <td>Total weight</td><td>100%</td></tr> </table> <p>(iv) Suitability of the transfer of knowledge (training) program:</p> <table> <tr> <td>a) Relevance of training program</td><td>04</td></tr> <tr> <td>b) Training approach and methodology</td><td>04</td></tr> <tr> <td>c) Qualifications of experts and trainers</td><td>04</td></tr> <tr> <td>Total points criteria (iv)</td><td>0-10</td></tr> </table> <p>(v) Participation by nationals among proposed key staff 00 [0-10]</p> <p>Total points for the five criteria : 100</p> <p>The minimum technical score St required to pass is: 90 Points</p>	a) Technical approach and methodology	30	b) Work plan	10	c) Organization and staffing	10	Total points for criterion(ii)	20-50	a) Team Leader	10	b) Monitoring and Evaluation Professional	05	c) Engineer	05	d) MIS /IT Manager	05	e) Micro Credit Manger	05	Total points for criterion (iii)	30-60	1) General qualifications	25%	2) Adequacy for the assignment	60%	3) Experience in region and language	15%	Total weight	100%	a) Relevance of training program	04	b) Training approach and methodology	04	c) Qualifications of experts and trainers	04	Total points criteria (iv)	0-10
a) Technical approach and methodology	30																																				
b) Work plan	10																																				
c) Organization and staffing	10																																				
Total points for criterion(ii)	20-50																																				
a) Team Leader	10																																				
b) Monitoring and Evaluation Professional	05																																				
c) Engineer	05																																				
d) MIS /IT Manager	05																																				
e) Micro Credit Manger	05																																				
Total points for criterion (iii)	30-60																																				
1) General qualifications	25%																																				
2) Adequacy for the assignment	60%																																				
3) Experience in region and language	15%																																				
Total weight	100%																																				
a) Relevance of training program	04																																				
b) Training approach and methodology	04																																				
c) Qualifications of experts and trainers	04																																				
Total points criteria (iv)	0-10																																				

20.1	Expected date and address for contract negotiations: <u>1<sup>st</sup> December, 2016</u> . Bungalow No. D-50 Street 50, PECHS Block 6 Main Shahrah-E- Faisal Karachi.
24.2	Successful Consultant is required to submit 5 % of the contract amount performance security in form of pay order, demand draft or bank guarantee at the time of contract award.
5.1	Consultants undertake to sign Integrity Pact for the procurement estimated to Exceed Pak Rs.2.5 million.

## Section 3. Technical Proposal - Standard Forms

Refer to Reference Paragraph 3.4 of the Data Sheet for format of Technical Proposal to be submitted, and paragraph 3.4 of Section 2 of the RFP for Standard Forms required and number of pages recommended.

Form TECH-1.	Technical Proposal Submission Form.....	21
Form TECH-2.	Consultant's Organization and Experience.....	22
	A - Consultant's Organization.....	22
	B - Consultant's Experience.....	23
Form TECH-3.	Comments and Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be provided by the PA.....	24
	A - On the Terms of Reference.....	24
	B - On Counterpart Staff and Facilities.....	25
Form TECH-4.	Description of Approach, Methodology and Work Plan for Performing the Assignment.....	26
Form TECH-5.	Team Composition and Task Assignments.....	27
Form TECH-6.	Curriculum Vitae (CV) for Proposed Professional Staff.....	28
Form TECH-7.	Staffing Schedule <sup>1</sup> .....	30
Form TECH-8.	Work Schedule.....	31

## FORM TECH-1. TECHNICAL PROPOSAL SUBMISSION FORM

---

[Location, Date]

To: [Name and address of PA]

Dear Sirs:

We, the undersigned, offer to provide the Consulting Services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert Date] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal, and a Financial Proposal sealed under a separate envelope<sup>1</sup>.

We are submitting our Proposal in association with: [Insert a list with full name and address of each associated Consultant]<sup>2</sup>

We hereby declare that all the information and statements made in this Proposal are true and accept that any misinterpretation contained in it may lead to our disqualification.

If negotiations are held during the period of validity of the Proposal, i.e., before the date indicated in the Data Sheet, we undertake to negotiate on the basis of the proposed staff. Our Proposal is binding upon us and subject to the modifications resulting from Contract negotiations.

We undertake, if our Proposal is accepted, to initiate the consulting services related to the assignment not later than the date indicated in the Data Sheet.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours Sincerely,

Authorized Signature [In full and initials]: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Firm: \_\_\_\_\_

Address: \_\_\_\_\_

---

<sup>1</sup> [In case Paragraph Reference 1.2 of the Data Sheet requires to submit a Technical Proposal only, replace this sentence with: "We are hereby submitting our Proposal, which includes this Technical Proposal only."]

<sup>2</sup> [Delete in case no association is foreseen.]

**For FTP Only**

**FORM TECH-2. CONSULTANT'S ORGANIZATION AND EXPERIENCE**

---

***A - Consultant's Organization***

*[Provide here a brief (two pages) description of the background and organization of your firm/entity and each associate for this assignment.]*



---

## ***B - Consultant's Experience***

*[Using the format below, provide information on each assignment for which your firm, and each associate for this assignment, was legally contracted either individually or as a corporate entity or as one of the major companies within an association, for carrying out consulting services similar to the ones requested under this assignment. Use 20 pages.]*

Assignment name:	Approx. value of the contract (in current US\$ or Euro):
Country: Location within country:	Duration of assignment (months):
Name of PA:	Total No of staff-months of the assignment:
Address:	Approx. value of the services provided by your firm under the contract (in current US\$ or Euro):
Start date (month/year): Completion date (month/year):	No of professional staff-months provided by associated Consultants:
Name of associated Consultants, if any:	Name of senior professional staff of your firm involved and functions performed (indicate most significant profiles such as Project Director/Coordinator, Team Leader):
Narrative description of Project:	
Description of actual services provided by your staff within the assignment:	

Firm's Name: \_\_\_\_\_

**For FTP Only**

**FORM TECH-3. COMMENTS AND SUGGESTIONS ON THE TERMS OF  
REFERENCE AND ON COUNTERPART STAFF AND FACILITIES TO BE  
PROVIDED BY THE PA**

---

***A - On the Terms of Reference***

*[Present and justify here any modifications or improvement to the Terms of Reference you are proposing to improve performance in carrying out the assignment (such as deleting some activity you consider unnecessary, or adding another, or proposing a different phasing of the activities). Such suggestions should be concise and to the point, and incorporated in your Proposal.]*

***B - On Counterpart Staff and Facilities***

*[Comment here on counterpart staff and facilities to be provided by the PA according to Paragraph Reference 1.4 of the Data Sheet including: administrative support, office space, local transportation, equipment, data, etc.]*

## FORM TECH-4. DESCRIPTION OF APPROACH, METHODOLOGY AND WORK PLAN FOR PERFORMING THE ASSIGNMENT

---

**(For small or very simple assignments the PA should omit the following text in Italic)**

*[Technical approach, methodology and work plan are key components of the Technical Proposal. You are suggested to present your Technical Proposal (50 pages, inclusive of charts and diagrams) divided into the following three chapters:*

- a) Technical Approach and Methodology,*
- b) Work Plan, and*
- c) Organization and Staffing,*

*a) Technical Approach and Methodology. In this chapter you should explain your understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. You should highlight the problems being addressed and their importance, and explain the technical approach you would adopt to address them. You should also explain the methodologies you propose to adopt and highlight the compatibility of those methodologies with the proposed approach.*

*b) Work Plan. In this chapter you should propose the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the PA), and delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents, including reports, drawings, and tables to be delivered as final output, should be included here. The work plan should be consistent with the Work Schedule of Form TECH-8.*

*c) Organization and Staffing. In this chapter you should propose the structure and composition of your team. You should list the main disciplines of the assignment, the key expert responsible, and proposed technical and support staff.]*

FORM TECH-5. TEAM COMPOSITION AND TASK ASSIGNMENTS

---

Professional Staff				
Name of Staff	Firm	Area of Expertise	Position Assigned	Task Assigned

**FORM TECH-6. CURRICULUM VITAE (CV) FOR PROPOSED  
PROFESSIONAL STAFF**

---

**1. Proposed Position** *[only one candidate shall be nominated for each position]:* \_\_\_\_\_

**2. Name of Firm** *[Insert name of firm proposing the staff]:* \_\_\_\_\_

**3. Name of Staff** *[Insert full name]:* \_\_\_\_\_

**4. Date of Birth:** \_\_\_\_\_ **Nationality:** \_\_\_\_\_

**5. Education** *[Indicate college/university and other specialized education of staff member, giving names of institutions, degrees obtained, and dates of obtainment]:* \_\_\_\_\_

**6. Membership of Professional Associations:** \_\_\_\_\_

**7. Other Training** *[Indicate significant training since degrees under 5 - Education were obtained]:* \_\_\_\_\_

**8. Countries of Work Experience:** *[List countries where staff has worked in the last ten years]:* \_\_\_\_\_

**9. Languages** *[For each language indicate proficiency: good, fair, or poor in speaking, reading, and writing]:* \_\_\_\_\_

**10. Employment Record** *[Starting with present position, list in reverse order every employment held by staff member since graduation, giving for each employment (see format here below): dates of employment, name of employing organization, positions held.]:*

From [Year]: \_\_\_\_\_ To [Year]: \_\_\_\_\_

Employer: \_\_\_\_\_

Positions held: \_\_\_\_\_

<b>11. Detailed Tasks Assigned</b>  <i>[List all tasks to be performed under this assignment]</i>	<b>12. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned</b>  <i>[Among the assignments in which the staff has been involved, indicate the following information for those assignments that best illustrate staff capability to handle the tasks listed under point 11.]</i>  Name of assignment or project: _____ Year: _____ Location: _____ PA: _____ Main Project features: _____ Position Held: _____ Activities performed: _____
---	--

**13. Certification:**

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes me, my Qualifications, and my experience. I understand that any willful misstatement described herein may lead to my disqualification or dismissal, if engaged.

_____	Date	_____
<i>[Signature of staff member or authorized</i>	<i>representative of the staff]</i>	<i>Day/Month/Ye</i>
<i>ar</i>		

Full name of authorized representative: \_\_\_\_\_

FORM TECH-7. STAFFING SCHEDULE<sup>1</sup>

N°	Name of Staff	Staff input (in the form of a bar chart) <sup>2</sup>													Total staff-month input		
		1	2	3	4	5	6	7	8	9	10	11	12	n	Home	Field <sup>3</sup>	Total
<b>Foreign</b>																	
1		[Home]															
		[Field]															
2																	
3																	
n																	
													<b>Subtotal</b>				
<b>Local</b>																	
1		[Home]															
		[Field]															
2																	
n																	
													<b>Subtotal</b>				
													<b>Total</b>				

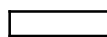
1 For Professional Staff the input should be indicated individually; for Support Staff it should be indicated by category (e.g.: draftsmen, clerical staff, etc.).

2 Months are counted from the start of the assignment. For each staff indicate separately staff input for home and field work.

3 Field work means work carried out at a place other than the Consultant's home office.



Full time input



Part time input



## FORM TECH-8. WORK SCHEDULE

N°	Activity <sup>1</sup>	Months <sup>2</sup>												
		1	2	3	4	5	6	7	8	9	10	11	12	n
1														
2														
3														
4														
5														
n														

1 Indicate all main activities of the assignment, including delivery of reports (e.g.: inception, interim, and final reports), and other benchmarks such as PA approvals. For phased assignments indicate activities, delivery of reports, and benchmarks separately for each phase.

2 Duration of activities shall be indicated in the form of a bar chart.

## Section 4. Financial Proposal - Standard Forms

*[Comments in brackets [ ] provide guidance to the shortlisted Consultants for the preparation of their Financial Proposals; they should be deleted from the Financial Proposals to be submitted.]*

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided under Para. 3.6 of Section 2. Such Forms are to be used whichever is the selection method indicated in Para. 4 of the Letter of Invitation.

*[The Appendix “Financial Negotiations - Breakdown of Remuneration Rates” is to be only used for financial negotiations when Quality-Based Selection, Selection Based on Qualifications, or Single-Source Selection method is adopted, according to the indications provided under Para. 6.3 of Section 2.]*

Form FIN-1. Financial Proposal Submission Form.....	33
Form FIN-2. Summary of Costs.....	34
Form FIN-3. Breakdown of Costs by Activity <sup>1</sup> .....	35
Form FIN-4. Breakdown of Remuneration <sup>1</sup> .....	36
Form FIN-4. Breakdown of Remuneration <sup>1</sup> .....	38
Form FIN-5. Breakdown of Reimbursable Expenses <sup>1</sup> .....	39
Form FIN-5. Breakdown of Reimbursable Expenses.....	41
<b>Appendix.</b> Financial Negotiations - Breakdown of Remuneration Rates.....	42

---

## FORM FIN-1. FINANCIAL PROPOSAL SUBMISSION FORM

---

To: [Name and address of PA]

[Location, Date]

Dear Sirs:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert Date] and our Technical Proposal. Our attached Financial Proposal is for the sum of [Insert amount(s) in words and figures<sup>1</sup>].

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal, i.e. before the date indicated in Paragraph Reference 1.12 of the Data Sheet.

Commissions and gratuities paid or to be paid by us to agents relating to this Proposal and Contract execution, if we are awarded the Contract, are listed below<sup>2</sup>:

Name and Address of Agents	Amount and Currency	Purpose of Commission or Gratuity
_____	_____	_____
_____	_____	_____
_____	_____	_____

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature [In full and initials]: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Firm: \_\_\_\_\_

Address: \_\_\_\_\_

---

<sup>1</sup> Amounts must coincide with the ones indicated under Total Cost of Financial proposal in Form FIN-2.

<sup>2</sup> If applicable, replace this paragraph with: "No commissions or gratuities have been or are to be paid by us to agents relating to this Proposal and Contract execution."

## FORM FIN-2. SUMMARY OF COSTS

---

Item	Costs	
	<i>Indicate Foreign Currency</i>	<i>Indicate Local Currency</i>
Total Costs of Financial Proposal <sup>2</sup>		

- 1 Indicate between brackets the name of the foreign currency. Maximum of three currencies; use as many columns as needed, and delete the others.
- 2 Indicate the total costs excluding local taxes to be paid by the PA in each currency. Such total costs must coincide with the sum of the relevant Subtotals indicated in all Forms FIN-3 provided with the Proposal.

## FORM FIN-3. BREAKDOWN OF COSTS BY ACTIVITY<sup>1</sup>

<b>Group of Activities (Phase):</b> <sup>2</sup> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/>	<b>Description:</b> <sup>3</sup> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/>			
Cost component	<b>Costs</b>			
	<i>[Indicate Foreign Currency # 1]</i> <sup>4</sup>	<i>[Indicate Foreign Currency # 2]</i> <sup>4</sup>	<i>[Indicate Foreign Currency # 3]</i> <sup>4</sup>	<i>[Indicate Local Currency]</i>
Remuneration <sup>5</sup>				
Reimbursable Expenses <sup>5</sup>				
Subtotals				

- 1 Form FIN-3 shall be filled at least for the whole assignment. In case some of the activities require different modes of billing and payment (e.g.: the assignment is phased, and each phase has a different payment schedule), the Consultant shall fill a separate Form FIN-3 for each group of activities. For each currency, the sum of the relevant Subtotals of all Forms FIN-3 provided must coincide with the Total Costs of Financial Proposal indicated in Form FIN-2.
- 2 Names of activities (phase) should be the same as, or correspond to the ones indicated in the second column of Form TECH-8.
- 3 Short description of the activities whose cost breakdown is provided in this Form.
- 4 Indicate between brackets the name of the foreign currency. Use the same columns and currencies of Form FIN-2.
- 5 For each currency, Remuneration and Reimbursable Expenses must respectively coincide with relevant Total Costs indicated in Forms FIN-4, and FIN-5.

## FORM FIN-4. BREAKDOWN OF REMUNERATION<sup>1</sup>

(This Form FIN-4 shall only be used when it is indicated in Reference Paragraph 5.6 of the Data Sheet that remuneration shall be Time Based)

<b>Group of Activities (Phase):</b> _____							
<sup>2</sup> Name	<sup>3</sup> Position	Staff-month Rate <sup>4</sup>	Input <sup>5</sup> (Staff-months)	[Indicate Foreign Currency # 1] <sup>6</sup>	[Indicate Foreign Currency # 2] <sup>6</sup>	[Indicate Foreign Currency # 3] <sup>6</sup>	[Indicate Local Currency] <sup>6</sup>
<b>Foreign Staff</b>							
		[Home]					
		[Field]					
<b>Local Staff</b>							
		[Home]					
		[Field]					
Total Costs							

1 Form FIN-4 shall be filled for each of the Forms FIN-3 provided.

2 Professional Staff should be indicated individually; Support Staff should be indicated per category (e.g.: draftsmen, clerical staff).

- 3 Positions of Professional Staff shall coincide with the ones indicated in Form TECH-5.
- 4 Indicate separately staff-month rate and currency for home and field work.
- 5 Indicate, separately for home and field work, the total expected input of staff for carrying out the group of activities or phase indicated in the Form.
- 6 Indicate between brackets the name of the foreign currency. Use the same columns and currencies of Form FIN-2. For each staff indicate the remuneration in the column of the relevant currency, separately for home and field work.  $\text{Remuneration} = \text{Staff-month Rate} \times \text{Input}$ .

## FORM FIN-4. BREAKDOWN OF REMUNERATION<sup>1</sup>

(This Form FIN-4 shall only be used when it is indicated in Reference Paragraph 5.6 of the Data Sheet that remuneration shall be Lump-Sum. Information to be provided in this Form shall only be used to establish payments to the Consultant for possible additional services requested by the PA)

Name <sup>2</sup>	Position <sup>3</sup>	Staff-month Rate <sup>4</sup>
<b>Foreign Staff</b>		
		[Home]
		[Field]
<b>Local Staff</b>		
		[Home]
		[Field]

- 1 Form FIN-4 shall be filled in for the same Professional and Support Staff listed in Form TECH-7.
- 2 Professional Staff should be indicated individually; Support Staff should be indicated per category (e.g.: draftsmen, clerical staff).
- 3 Positions of the Professional Staff shall coincide with the ones indicated in Form TECH-5.
- 4 Indicate separately staff-month rate and currency for home and field work.



## FORM FIN-5. BREAKDOWN OF REIMBURSABLE EXPENSES<sup>1</sup>

(This Form FIN-5 shall only be used when it is indicated in Reference Paragraph 5.6 of the Data Sheet that remuneration shall be Time Based)

<b>Group of Activities (Phase):</b> _____								
N°	Description <sup>2</sup>	Unit	Unit Cost <sup>3</sup>	Quantity	[Indicate Foreign Currency # 1] <sup>4</sup>	[Indicate Foreign Currency # 2] <sup>4</sup>	[Indicate Foreign Currency # 3] <sup>4</sup>	[Indicate Local Currency] <sup>4</sup>
	Per diem allowances	Day						
	International flights <sup>5</sup>	Trip						
	Miscellaneous travel expenses	Trip						
	Communication costs between [Insert place] and [Insert place]							
	Drafting, reproduction of reports							
	Equipment, instruments, materials, supplies, etc.							
	Shipment of personal effects	Trip						
	Use of computers, software							
	Laboratory tests.							
	Subcontracts							
	Local transportation costs							
	Office rent, clerical assistance							
	Training of the PA's personnel <sup>6</sup>							
<b>Total Costs</b>								

- 1 Form FIN-5 should be filled for each of the Forms FIN-3 provided, if needed.
- 2 Delete items that are not applicable or add other items according to Paragraph Reference 3.6 of the Data Sheet.
- 3 Indicate unit cost and currency.
- 4 Indicate between brackets the name of the foreign currency. Use the same columns and currencies of Form FIN-2. Indicate the cost of each reimbursable item in the column of the relevant currency. Cost = Unit Cost x Quantity.
- 5 Indicate route of each flight, and if the trip is one- or two-ways.
- 6 Only if the training is a major component of the assignment, defined as such in the TOR.

## FORM FIN-5. BREAKDOWN OF REIMBURSABLE EXPENSES

(This Form FIN-5 shall only be used when it is indicated in Reference Paragraph 5.6 of the Data Sheet that remuneration shall be Lump Sum. Information to be provided in this Form shall only be used to establish payments to the Consultant for possible additional services requested by the PA)

Nº	Description <sup>1</sup>	Unit	Unit Cost <sup>2</sup>
	Per diem allowances	Day	
	International flights <sup>3</sup>	Trip	
	Miscellaneous travel expenses	Trip	
	Communication costs between [Insert place] and [Insert place]		
	Drafting, reproduction of reports		
	Equipment, instruments, materials, supplies, etc.		
	Shipment of personal effects	Trip	
	Use of computers, software		
	Laboratory tests.		
	Subcontracts		
	Local transportation costs		
	Office rent, clerical assistance		
	Training of the PA's personnel <sup>4</sup>		

1 Delete items that are not applicable or add other items according to Paragraph Reference 3.6 of the Data Sheet.

2 Indicate unit cost and currency.

3 Indicate route of each flight, and if the trip is one- or two-ways.

4 Only if the training is a major component of the assignment, defined as such in the TOR.

## **APPENDIX. FINANCIAL NEGOTIATIONS - BREAKDOWN OF REMUNERATION RATES**

---

(Not to be used when cost is a factor in the evaluation of Proposals)

### **1. Review of Remuneration Rates**

- 1.1 The remuneration rates for staff are made up of salary, social costs, overheads, fee that is profit, and any premium or allowance paid for assignments away from headquarters. To assist the firm in preparing financial negotiations, a Sample Form giving a breakdown of rates is attached (no financial information should be included in the Technical Proposal). Agreed breakdown sheets shall form part of the negotiated contract.
- 1.2 The PA is charged with the custody of funds from Government of Sindh and is expected to exercise prudence in the expenditure of these funds. The PA is, therefore, concerned with the reasonableness of the firm's Financial Proposal, and, during negotiations, it expects to be able to review audited financial statements backing up the firm's remuneration rates, certified by an independent auditor. The firm shall be prepared to disclose such audited financial statements for the last three years, to substantiate its rates, and accept that its proposed rates and other financial matters are subject to scrutiny. Rate details are discussed below.

#### **(i) Salary**

This is the gross regular cash salary paid to the individual in the firm's home office. It shall not contain any premium for work away from headquarters or bonus.

#### **(ii) Social Costs**

Social costs are the costs to the firm of staff's non-monetary benefits. These items include, *inter alia*, social security including pension, medical and life insurance costs, and the cost of a staff member being sick or on vacation. In this regard, the cost of leave for public holidays is not an acceptable social cost nor is the cost of leave taken during an assignment if no additional staff replacement has been provided. Additional leave taken at the end of an assignment in accordance with the firm's leave policy is acceptable as a social cost.

#### **(iii) Cost of Leave**

The principles of calculating the cost of total days leave per annum as a percentage of basic salary shall normally be as follows:

$$\text{Leave cost as percentage of salary}^1 = \frac{\text{total days leave} \times 100}{[365 - w - ph - v - s]}$$

---

<sup>1</sup> Where *w* = weekends, *ph* = public holidays, *v* = vacation, and *s* = sick leave.

It is important to note that leave can be considered a social cost only if the PA is not charged for the leave taken.

**(iv) Overheads**

Overhead expenses are the firm's business costs that are not directly related to the execution of the assignment and shall not be reimbursed as separate items under the contract. Typical items are home office costs (partner's time, non-billable time, time of senior staff monitoring the project, rent, support staff, research, staff training, marketing, etc.), the cost of staff not currently employed on revenue-earning projects, taxes on business activities and business promotion costs. During negotiations, audited financial statements, certified as correct by an independent auditor and supporting the last three years' overheads, shall be available for discussion, together with detailed lists of items making up the overheads and the percentage by which each relates to basic salary. The PA does not accept an add-on margin for social charges, overhead expenses, etc., for staff who are not permanent employees of the firm. In such case, the firm shall be entitled only to administrative costs and fee on the monthly payments charged for subcontracted staff.

**(v) Fee or Profit**

The fee or profit shall be based on the sum of the salary, social costs, and overhead. If any bonuses paid on a regular basis are listed, a corresponding reduction in the profit element shall be expected. Fee or profit shall not be allowed on travel or other reimbursable expenses, unless in the latter case an unusually large amount of procurement of equipment is required. The firm shall note that payments shall be made against an agreed estimated payment schedule as described in the draft form of the contract.

**(vi) Away from Headquarters Allowance or Premium**

Some Consultants pay allowances to staff working away from headquarters. Such allowances are calculated as a percentage of salary and shall not draw overheads or profit.

**(vii) Subsistence Allowances**

Subsistence allowances are not included in the rates, but are paid separately and in local currency. No additional subsistence is payable for dependents the subsistence rate shall be the same for married and single team members.

Standard rates for the particular country may be used as reference to determine subsistence allowances.

**2. Reimbursable expenses**

- 2.1 The financial negotiations shall further focus on such items as out-of-pocket expenses and other reimbursable expenses. These costs may include, but are not restricted to, cost of surveys, equipment, office rent, supplies, international and local travel, computer

rental, mobilization and demobilization, insurance, and printing. These costs may be either unit rates or reimbursable on the presentation of invoices, in foreign or local currency.

### **3. PA Guarantee**

- 3.1 Payments to the firm, including payment of any advance based on cash flow projections covered by a PA guarantee, shall be made according to an agreed estimated schedule ensuring the consultant regular payments in local and foreign currency, as long as the services proceed as planned.

## Sample Form

Consulting Firm:  
Assignment:

Country:  
Date:

### Consultant's Representations Regarding Costs and Charges

We hereby confirm that:

- (a) the basic salaries indicated in the attached table are taken from the firm's payroll records and reflect the current salaries of the staff members listed which have not been raised other than within the normal annual salary increase policy as applied to all the firm's staff;
- (b) attached are true copies of the latest salary slips of the staff members listed;
- (c) the away from headquarters allowances indicated below are those that the Consultants have agreed to pay for this assignment to the staff members listed;
- (d) the factors listed in the attached table for social charges and overhead are based on the firm's average cost experiences for the latest three years as represented by the firm's financial statements; and
- (e) said factors for overhead and social charges do not include any bonuses or other means of profit-sharing.

\_\_\_\_\_  
*[Name of Consulting Firm]*

\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Date

Name: \_\_\_\_\_

Title: \_\_\_\_\_

### Consultant's Representations Regarding Costs and Charges

(Expressed in *[insert name of currency]*)

Personnel		1	2	3	4	5	6	7	8
Name	Position	Basic Salary per Working Month/Day/Year	Social <sup>1</sup> Charges	Overhead <sup>1</sup>	Subtotal	Fee <sup>2</sup>	Away from Headquarters Allowance	Proposed Fixed Rate per Working Month/Day/Hour	Proposed Fixed Rate per Working Month/Day/Hour <sup>1</sup>
Home Office									
Field									

1. Expressed as percentage of 1

2. Expressed as percentage of 4



**Appendix A**

**(INTEGRITY PACT)**

**DECLARATION OF FEE, COMMISSION AND BROKERAGE ETC.  
PAYABLE BY THE SUPPLIERS OF GOODS, SERVICES & WORKS IN  
CONTRACTS WORTH RS. 10.00 MILLION OR MORE**

Contract No. \_\_\_\_\_ Dated \_\_\_\_\_

Contract Value: \_\_\_\_\_

Contract Title: \_\_\_\_\_

..... [Name of Supplier] hereby declares that it has not obtained or induced the procurement of any contract, right, interest, privilege or other obligation or benefit from Government of Sindh (GoS) or any administrative subdivision or agency thereof or any other entity owned or controlled by GoS through any corrupt business practice.

Without limiting the generality of the foregoing, [name of Supplier] represents and warrants that it has fully declared the brokerage, commission, fees etc. paid or payable to anyone and not given or agreed to give and shall not give or agree to give to anyone within or outside Pakistan either directly or indirectly through any natural or juridical person, including its affiliate, agent, associate, broker, consultant, director, promoter, shareholder, sponsor or subsidiary, any commission, gratification, bribe, finder's fee or kickback, whether described as consultation fee or otherwise, with the object of obtaining or inducing the procurement of a contract, right, interest, privilege or other obligation or benefit in whatsoever form from GoS, except that which has been expressly declared pursuant hereto.

[name of Supplier] certifies that it has made and will make full disclosure of all agreements and arrangements with all persons in respect of or related to the transaction with GoS and has not taken any action or will not take any action to circumvent the above declaration, representation or warranty.

[name of Supplier] accepts full responsibility and strict liability for making any false declaration, not making full disclosure, misrepresenting facts or taking any action likely to defeat the purpose of this declaration, representation and warranty. It agrees that any contract, right, interest, privilege or other obligation or benefit obtained or procured as aforesaid shall, without prejudice to any other rights and remedies available to GoS under any law, contract or other instrument, be voidable at the option of GoS.

Notwithstanding any rights and remedies exercised by GoS in this regard, [name of Supplier] agrees to indemnify GoS for any loss or damage incurred by it on account of its corrupt business practices and further pay compensation to GoS in an amount equivalent to ten times the sum of any commission, gratification, bribe, finder's fee or kickback given by [name of Supplier] as aforesaid for the purpose of obtaining or inducing the procurement of any contract, right, interest, privilege or other obligation or benefit in whatsoever form from GoS.

Name of Buyer: .....

Signature: .....

[Seal]

Name of Seller/Supplier: .....

Signature: .....

[Seal]

**TERMS OF REFERENCE FOR  
SHORTLISTED CONSULTANT FIRMS**

The Shortlisted Consultant firms shall be engaged for Implementation of Union Council Based Poverty Reduction Programme in District (Khairpur, Sanghar, Badin, Thatta, Umerkot and Mirpurkhas in the Province of Sindh. The Shortlisted Consultant firms shall report to the District Office, PMU, UCBPRP and Shall be responsible for carrying out the following tasks:-

Districts	No. UCs	No. of HHs	Population	NO OF COs	NO OF VOs	NO OF LSOs
Badin	38	221,431	1,191,578	10088	2522	38
Khairpur	38	190,987	1,145,923	8700	2175	38
Mirpurkhas	28	150,511	888,013	6857	1715	28
Sanghar	44	245,858	1,425,975	11200	2800	44
Thatta	19	96,620	558,009	4402	1100	19
Umerkot	24	128,360	713,036	5849	1463	24
<b>Grand Total</b>	<b>191</b>	<b>1,033,767</b>	<b>5,922,534</b>	<b>47096</b>	<b>11775</b>	<b>191</b>

S#	Name of District	Name of Union Councils
1	Badin	Saeedpur
2	Badin	Piro Lashare
3	Badin	Dada
4	Badin	Khoski
5	Badin	Seerani
6	Badin	Phalkara
7	Badin	Bhagra Memon
8	Badin	Chabralu
9	Badin	Muhammad Khan Bargari
10	Badin	Khairpur
11	Badin	Nandu
12	Badin	Rajo Khanani
13	Badin	Kadi Qazia
14	Badin	Tando Bao
15	Badin	Badin-3
16	Badin	Pahar Murre
17	Badin	Khadharo
18	Badin	Kadhan
19	Badin	Abdullah Shah
20	Badin	Mithi
21	Badin	Khalifa Qasim
22	Badin	Haji Sawan
23	Badin	Rahoki
24	Badin	Dai Jarkas

Continues

S#	Name of District	Name of Union Councils
25	Badin	Bhadhu Qambrani
26	Badin	Malhan
27	Badin	Thari
28	Badin	Badin-2
29	Badin	Pangrio
30	Badin	Badin-1
31	Badin	Talhar
32	Badin	Dabi
33	Badin	New Dambalu
34	Badin	Luwari Sharif
35	Badin	Matli-1
36	Badin	Haliota
37	Badin	Manak Laghari
38	Badin	Tando Ghulam Ali

S#	Name of District	Name of Union Councils
1	Khairpur	Shadi Shaheed
2	Khairpur	Kot Mir Muhammad
3	Khairpur	Khametia
4	Khairpur	Agra
5	Khairpur	Mandan
6	Khairpur	Chondko
7	Khairpur	Baqi Khan
8	Khairpur	Piryalo
9	Khairpur	Fateh Pur
10	Khairpur	Liyare
11	Khairpur	Noor pur
12	Khairpur	Saghyoun
13	Khairpur	Muhabbat Wah
14	Khairpur	Mohsin Shah
15	Khairpur	Manghanwari
16	Khairpur	Drib Mehar Shah
17	Khairpur	Khanpur
18	Khairpur	Deh Soho
19	Khairpur	Akri
20	Khairpur	Nizamani
21	Khairpur	Nasir Faqir Jilani
22	Khairpur	Mehar Ali
23	Khairpur	Kandiari
24	Khairpur	Faqir Abad
25	Khairpur	Jhando Mashaikh
26	Khairpur	Kamal Dera
27	Khairpur	Pir Hayat Shah
28	Khairpur	Babarlo
29	Khairpur	Jiskani
30	Khairpur	Ahmed Pur
31	Khairpur	Raza Abad
32	Khairpur	Sami
33	Khairpur	Kot Lalu

Continue

S#	Name of District	Name of Union Councils
34	Khairpur	Handyari
35	Khairpur	Kumb
36	Khairpur	Tando Masti Khan
37	Khairpur	Bhangobhan
38	Khairpur	Tajal

S#	Name of District	Name of Union Councils
1	Mirpurkhas	Makhan Samoon
2	Mirpurkhas	Daulatpur
3	Mirpurkhas	Sofan Shah
4	Mirpurkhas	Hadi Bux Donki
5	Mirpurkhas	Bharghari
6	Mirpurkhas	Mir Ghulam Hussan Talpur
7	Mirpurkhas	Jhaluri
8	Mirpurkhas	Mir Khuda Bux
9	Mirpurkhas	Degan
10	Mirpurkhas	Mir Imam Bux
11	Mirpurkhas	Khudadad
12	Mirpurkhas	Kangoro
13	Mirpurkhas	Tando Jan Muhammad
14	Mirpurkhas	Jowadisar
15	Mirpurkhas	Paban
16	Mirpurkhas	Mirwah
17	Mirpurkhas	Mirpurkhas-5
18	Mirpurkhas	Dagri
19	Mirpurkhas	Kot Ghulam Muhammad
20	Mirpurkhas	Mirpur Khas-1
21	Mirpurkhas	Mirwah
22	Mirpurkhas	Mirpurkhas-6
23	Mirpurkhas	Mirpurkhas-8
24	Mirpurkhas	Fazal Bhambro
25	Mirpurkhas	Mirpurkhas-7
26	Mirpurkhas	Khirao
27	Mirpurkhas	Mirpurkhas-3
28	Mirpurkhas	Mirpurkhas-2

S#	Name of District	Name of Union Councils
1	Sanghar	Shahdadpur
2	Sanghar	Mitho Khoso
3	Sanghar	Mir Hassan Muree
4	Sanghar	Karkali
5	Sanghar	Dhaliyar
6	Sanghar	Setharpur
7	Sanghar	Hathangu
8	Sanghar	Barhon
9	Sanghar	Manik Thahim
10	Sanghar	Shah Sikandar abad
11	Sanghar	Jaffar Khan Laghari
12	Sanghar	Kehur
13	Sanghar	Chotiyarion

Continue

S#	Name of District	Name of Union Councils
14	Sanghar	Kumb Daron
15	Sanghar	Pir Faqir Shoru
16	Sanghar	Khori
17	Sanghar	Mian
18	Sanghar	Malasi
19	Sanghar	Jhol
20	Sanghar	Ronjhu
21	Sanghar	Kanthar
22	Sanghar	Hot Wasan
23	Sanghar	Bilawal Hangorjo
24	Sanghar	Lando
25	Sanghar	Gul Muhammad Laghari
26	Sanghar	Khai
27	Sanghar	Gajri
28	Sanghar	Asghar Abad
29	Sanghar	Pir Syed Syed Sabghatullah Shah
30	Sanghar	Jatia
31	Sanghar	Paritamabad
32	Sanghar	Khadwari
33	Sanghar	Jam Nawaz Ali
34	Sanghar	Sarhari
35	Sanghar	Kamil Hangoru
36	Sanghar	Tando Adam -04
37	Sanghar	Somar Faqir Hangoru
38	Sanghar	Beerani
39	Sanghar	Khadro
40	Sanghar	Shah Mardan Abad
41	Sanghar	Tando Adam-05
42	Sanghar	Naon Abad
43	Sanghar	Kandiari
44	Sanghar	Sanjhoru

S#	Name of District	Name of Union Councils
1	Thatta	Murid Khoso
2	Thatta	Jar
3	Thatta	Chatu Chand
4	Thatta	Belo
5	Thatta	Adasi
6	Thatta	Kainjhar
7	Thatta	Maher
8	Thatta	Bajora
9	Thatta	Gul Muhammad Baran
10	Thatta	Begna
11	Thatta	Kothi
12	Thatta	Garho
13	Thatta	Keti Bandar
14	Thatta	Ali Bahar
15	Thatta	Ladion
16	Thatta	Mirpur Sakro
17	Thatta	Kharochan
18	Thatta	Kalankot
19	Thatta	Kahan

S#	Name of District	Name of Union Councils
1	Umerkot	Shadi Pali
2	Umerkot	Kaplor
3	Umerkot	Karorro Syed
4	Umerkot	Padhrio Farm
5	Umerkot	Faqir Abdullah
6	Umerkot	Sabho
7	Umerkot	Pathoro
8	Umerkot	Bastan
9	Umerkot	Khaejrari
10	Umerkot	Shah Mardan Shah
11	Umerkot	Atta Muhammad Pali
12	Umerkot	Sher Khan Chandio
13	Umerkot	Sataryoon
14	Umerkot	Khakharpar
15	Umerkot	Chajro
16	Umerkot	Dhoronado
17	Umerkot	Samaro road
18	Umerkot	Gapna
19	Umerkot	Gharib Abad
20	Umerkot	Araro Bhargari
21	Umerkot	Nabisar
22	Umerkot	Samaro
23	Umerkot	Umerkot
24	Umerkot	Khandi Memon

- The data of poor union councils and households provided by Benazir Income Support Program will be used to start interventions of the program, however Poverty Score Card Survey will be conducted by the NGOs/Firms after the contract is awarded to them, if is essentially required with the approval of Program Steering Committee with proper justifications, otherwise data provided by BISP shall be used for program implementation.
- Provide person(s) per month(s) using participatory techniques for needs assessment and social mobilization of Households (HHs) that are below the poverty line.
- To establish Community Organization (CO)/Village Organizations (VO) in each village in the designated 191 UCs and to facilitate capacity building and strengthening of those COs and VOs so as to enable them to become capable of independently managing, planning mobilizing resources and implementing household and village level activities on self help basis.
- Provide one time in cash Income Generating Grants (IGG) to the identified HHs so as to enable them to graduate to next level.
- Provide each Community Organization through Village Organizations with seed capital as Community Investment Fund (CIF) on revolving fund basis for providing interest free loans to the CO members for productive and income generating activities. The shortlisted consultant firms will strive to motivate COs/VOs to contribute their savings to the seed capital part of their contribution to the Community Investment Fund (CIF). This revolving Fund will be periodically and vigorously monitored and its utilization shall be reported to the Client on quarterly basis each year.
- Provide HHs that are identified as being under the poverty line with Vocational Training Programme (VTP) that leads to either self or external employment.
- The shortlisted consultant firms will strive to motivate Village Organizations to rehabilitate closed or non-functional existing amenities schemes on a self-help basis/through UC Administration. The shortlisted Consultant firms will also build capacity of Community/Village Organizations

and create linkage with various other Programmes of the Client, for monitoring and feedback on service delivery of public amenities and facilities in their respective villages/ localities..

- Provide technical assistance to the Village Organizations which would include technical support to design.
- Infrastructure improvements and guide construction; and their obligation and cost.
- Contribution, such as the provision of locally available materials and labor, and the responsibility for Operation and Maintenance (O&M) of completed works; train the office bearers/staff of VOs to maximize community participation in planning and implementing the Programme.
- Assist COs/VOs in preparing agricultural improvement plans, identifying self-help activities and developing linkages with concerned line agencies in improved agriculture, livestock and forestry practices.
- Besides above following three activities will be undertaken by the shortlisted consultant firms from existing budget allocations:
  - Provide support to community in development of an Extensive Marketing Chain at each Taluka level
  - Provide support to community in Product Development through institutional arrangement and its Connectivity with market.
  - Provide support to community in Enterprise Development process through involvement of corporate sector and Philanthropist, particularly for small businesses like milk chillers; pickles development; fras and tharie kambal development; khadi development, buck for me or any other trade nationally Practice in the area /region etc.
- Submit reports on physical and financial progress to the Client on monthly basis. The client will have full and mandatory online access/sharing of all information/data on Management Information System (MIS) to be developed by the shortlisted consultant firms before commencement of the activities

